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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : **Attn: ISSUE BRANCH**
Akihisa HONGO et al. : **Confirmation No. 1388**
Serial No. 09/891,472 : Group Art Unit 1742
Filed June 27, 2001 : Examiner Donald R. Valentine

METHOD AND APPARATUS FOR
FORMING INTERCONNECTS, AND
POLISHING LIQUID AND POLISHING
METHOD

REQUEST FOR CONSIDERATION OF INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

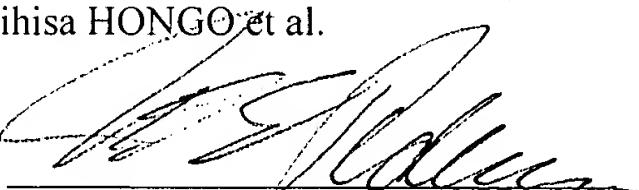
On November 18, 2003, an Information Disclosure Statement was submitted to the U.S. Patent and Trademark Office, along with copies of the various references cited therein. However, no initialed copy of the Form PTO-1449 has been returned. A copy of the Information Disclosure Statement, form PTO-1449 and postcard receipt are attached.

Accordingly, the Examiner is respectfully requested to consider the references cited in the Information Disclosure Statement of November 18, 2003, and to make these references of record in the application.

Respectfully submitted,

Akihisa HONGO et al.

By


Nils E. Pedersen
Registration No. 33,145
Attorney for Applicants

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February 19, 2004

THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
FEES FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975



COPY

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

: Confirmation No. 1388

Akihisa HONGO et al.

: Docket No. 2001-0924A

Serial No. 09/891,472

: Group Art Unit 1742

Filed June 27, 2001

: Examiner Donald R. Valentine

METHOD AND APPARATUS FOR
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METHOD

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of [X] the references listed on attached form PTO-1449 and/or [] the additional information identified below in paragraph 3. A legible copy of each reference listed on the form PTO-1449 and each U.S. patent application listed below is enclosed, except a copy is not provided for each reference previously cited by or submitted to the Patent Office in prior parent application Serial No.

1a. [] This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, **or**

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

and thus no certification and/or fee is required.

1b. This Information Disclosure Statement is submitted
after the events of above paragraph 1a and prior to the mailing date of a final Office
Action or a Notice of Allowance or an action which otherwise closes prosecution in the
application, and thus:

- (1) the certification of paragraph 2 below is provided, **or**
- (2) the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

1c. This Information Disclosure Statement is submitted:
after the mailing date of a final Office Action or Notice of Allowance or action which
otherwise closes prosecution in the application, and prior to payment of the issue fee, and
thus:

the certification of paragraph 2 below is provided, and

the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

2. It is hereby certified
 - a. that each item of information contained in this Information Disclosure Statement
was first cited in any communication from a foreign patent office in a counterpart
foreign application not more than three months prior to the filing of the
Statement, or
 - b. that no item of information contained in the Information Disclosure Statement
was cited in a communication from a foreign patent office in a counterpart
foreign application and, to the knowledge of the person signing the certification
after making reasonable inquiry, was known to any individual designated in
§1.56(c) more than three months prior to the filing of the Statement.
3. Consideration of the following list of additional information (including any copending or
abandoned U.S. application, prior uses and/or sales, etc.) is requested.
4. For each non-English language reference listed on the attached form PTO-1449, reference
is made to:

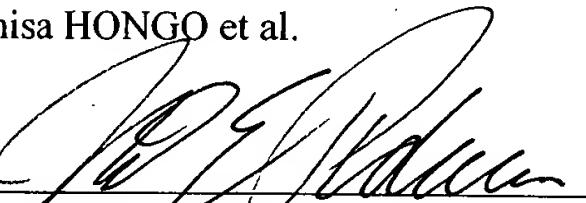
- a. a full or partial English language translation submitted herewith,
- b. a foreign patent office search report (in the English language) submitted herewith,
- c. the concise explanation contained in the specification of the present application at page,
- d. the concise explanation set forth in the attached English language abstract,
- e. the concise explanation set forth below or on a separate sheet attached to the reference:

5. A foreign patent office search report citing one or more of the references is enclosed.

Respectfully submitted,

Akihisa HONGO et al.

By



Nils E. Pedersen

Registration No. 33,145

Attorney for Applicants

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Washington, D.C. 20006-1021
Telephone (202) 721-8200
Facsimile (202) 721-8250
November 18, 2003

FORM PTO 1449 (modified)

ATTY DOCKET NO.
2001-0924ASERIAL NO.
09/891,472U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)

FEB 19 2004

Date Submitted to PTO: November 18, 2003

APPLICANT
Akihisa HONGO et al.FILING DATE
June 27, 2001GROUP
1742

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	6,352,467	3/2002	Somekh et al.			
	AB	6,294,059	9/2001	Hongo et al.			
	AC	6,508,920	1/2003	Ritzdorf et al.			
	AD	6,267,853	7/2001	Dordi et al.			
	AE						
	AF						
	AG						
	AH						
	AI						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AJ						
	AK						
	AL						
	AM						
	AN						

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

AO	
AP	
AQ	

EXAMINER

DATE CONSIDERED



ATTY DOCKET #: 2001-0924A
Confirmation No. 1388
OUR REF: 2001-0924A/NEP/01213
Applicant: Akihisa HONGO et al.
Serial No.: 09/891,472
Title: METHOD AND APPARATUS FOR FORMING INTERCONNECTS, AND
POLISHING LIQUID AND POLISHING METHOD

110
180
COPY

Due Date: November 18, 2003

Filing Date: June 27, 2001



Receipt of the following papers is acknowledged:

1. Patent Office Fee Transmittal Form (in duplicate)
2. Petition for Extension of Time (1 month)
3. Amendment
4. Substitute specification and abstract (marked-up)
5. Substitute specification and abstract (clean version)
6. English translation of priority application No. 2001-077154 filed 3/16/2001
7. Information Disclosure Statement w/PTO-1449 and refs.
8. Check in the amount of \$290.00

Date: November 18, 2003

Attorney: NEP/krg

[Check No. 58756